Two-dimensional Waves Around Almost Periodic Arrangements of Scatterers*

P. A. Martin¹, A. Maurel²

¹ Department of Mathematical and Computer Sciences, Colorado School of Mines, Golden, Colorado, USA

² Laboratoire Ondes et Acoustique, Ecole Supérieure de Physique et de Chimie Industrielles, Paris, France *Appeared as Proc. 9th Int. Conf. on Mathematical & Numerical Aspects of Wave Propagation, Pau, France, 2009, 388–389.

Abstract

Acoustic waves around an infinite configuration of identical circular scatterers are considered. Each scatterer is close to a node of a regular lattice: the geometrical configuration is almost periodic. Analytical estimates for the average field in such a random medium are obtained.

Introduction

Consider waves in a two-dimensional periodic structure, defined by a lattice Λ : each cell in the lattice is a parallelogram, each node in the lattice is a scatterer location. Let d be the shortest distance between nodes. For scalar waves governed by the Helmholtz equation, $(\nabla^2 + k^2)u = 0$, it is known how to calculate the dispersion relation, connecting the wavenumber k to the Bloch vector \mathbf{Q} : solutions satisfy the Bloch condition $u(\mathbf{r}+\mathbf{r}_j) = u(\mathbf{r}) \exp(i\mathbf{Q}\cdot\mathbf{r}_j)$, for every lattice node \mathbf{r}_j .

The periodic problems outlined above have been studied extensively. One important application concerns photonic crystals [1]. Fabrication of such structures inevitably introduces imperfections, leading to nearly periodic geometries or other forms of disorder. What are the effects of the disorder? There are publications on this question; the main result is that the band-gap phenomena seen with periodic structures are robust to small amounts of random disorder. Representative publications include [2], [3], [4], [5], [6]. All these papers include results from numerical simulations. Some [4], [6] use a 'supercell' method, which means that a periodic medium is constructed in which each period contains the same disordered arrangement of circular scatterers; evidently, such a periodic medium is not a random medium, so it is unclear how to interpret the results. The other papers [2], [3], [5] use a finite number of circular scatterers, 1152 in [2], 38 in [3] and 169 in [5].

1 The periodic problem

We consider identical circular scatterers of radius a. For simplicity, we suppose that $ka \ll 1$ and that each scatterer is sound soft. This permits the use of

Foldy's (deterministic) model for the scattering:

$$u(\mathbf{r}) = \sum_{\mathbf{r}_j \in \Lambda} \mathcal{B}_j \psi_0^H(\mathbf{r} - \mathbf{r}_j) = \mathcal{B}_i \psi_0^H(\mathbf{r} - \mathbf{r}_i) + u_i^{\mathrm{e}}(\mathbf{r}),$$

where \mathcal{B}_j are coefficients, $\psi_n^H(\mathbf{r}) = H_n^{(1)}(kr) e^{in\theta}$, r, θ are polar coordinates and

$$u_i^{\mathbf{e}}(\mathbf{r}) = u(\mathbf{r}) - \mathcal{B}_i \psi_0^H(\mathbf{r} - \mathbf{r}_i) = \sum_{j \neq i} \mathcal{B}_j \psi_0^H(\mathbf{r} - \mathbf{r}_j).$$
(1)

The quantity $u_n^{\rm e}(\mathbf{r})$ is the field incident on the *n*th scatterer in the presence of all the other scatterers. Next, suppose that $\mathcal{B}_n = g u_n^{\rm e}(\mathbf{r}_n)$, where *g* is the scattering coefficient. Thus, the strength of the field scattered by the *n*th cylinder is proportional to the external field acting on that cylinder. All our scatterers are identical, so we use the same scattering coefficient for each. Then, evaluating (1) at $\mathbf{r} = \mathbf{r}_i$ gives a homogeneous linear system for the numbers $u_j^{\rm e}(\mathbf{r}_j)$. Looking for a solution of this system in the form $u_j^{\rm e}(\mathbf{r}) = \exp(\mathrm{i}\mathbf{Q}\cdot\mathbf{r})$ gives just one equation,

$$1 = g\sigma_0^H(k; \mathbf{Q}; \Lambda), \tag{2}$$

where σ_0^H is a lattice sum,

$$\sigma_n^H = \sigma_n^H(k; \mathbf{Q}; \Lambda) = \sum_{\mathbf{r}_j \in \Lambda}' \psi_n^H(\mathbf{r}_j) \exp\left(\mathrm{i}\mathbf{Q} \cdot \mathbf{r}_j\right) \quad (3)$$

and the prime on the summation indicates that the term with $\mathbf{r}_0 = \mathbf{0}$ is omitted.

Equation (2) gives a relation between k and \mathbf{Q} . To indicate that we are examining the periodic problem, we add a subscript p, giving $k_{\rm p}$ and $\mathbf{Q}_{\rm p}$. We may choose to specify $\mathbf{Q}_{\rm p}$ and then (2) determines $k_{\rm p}$, or we may choose to do the opposite. We are interested in how these relations are changed by the introduction of positional disorder.

2 The almost periodic problem

Let the centre of the *n*th circle be displaced from \mathbf{r}_n to \mathbf{r}'_n with $|\mathbf{r}_n - \mathbf{r}'_n| < \varepsilon d$, where $0 < \varepsilon \ll 1$: each small disc, \mathcal{D}_n , of radius $R = \varepsilon d$ and centre $\mathbf{r}_n \in \Lambda$, contains exactly one scatterer, centred at \mathbf{r}'_n .

Again, for simplicity, we use the Foldy deterministic model. Then, we compute the ensemble average, $\langle u \rangle$, using the Lax QCA (see [7, §8.6.4], for example) and a simple choice for the pair correlation function: given Λ , the first scatterer can be centred in any disc \mathcal{D}_n with equal probability, the second scatterer can then be centred in any other disc with equal probability. We find that

$$\langle u(\mathbf{r}) \rangle = \frac{g}{\pi R^2} \sum_{\mathbf{r}_j \in \Lambda} \int_{\mathcal{D}_j} v(\mathbf{r}') \psi_0^H(\mathbf{r} - \mathbf{r}') \,\mathrm{d}\mathbf{r}', \quad (4)$$

where v solves the integral equation

$$v(\mathbf{r}'_{i}) = \frac{g}{\pi R^{2}} \sum_{j \neq i} \int_{\mathcal{D}_{j}} v(\mathbf{r}') \psi_{0}^{H}(\mathbf{r}'_{i} - \mathbf{r}') \,\mathrm{d}\mathbf{r}', \quad \mathbf{r}'_{i} \in \mathcal{D}_{i}.$$
(5)

This equation shows that $(\nabla^2 + k^2)v = 0$ inside each \mathcal{D}_i . Equation (4) shows that $\langle u \rangle$ can be written as an acoustic 'volume' potential. This observation has two consequences. First, an application of $(\nabla^2 + k^2)$ to (4) gives

$$(\nabla^2 + k^2) \langle u(\mathbf{r}) \rangle = \begin{cases} 0, & \mathbf{r} \notin \mathcal{D}_i, \\ [4ig/(\pi R^2)]v(\mathbf{r}), & \mathbf{r} \in \mathcal{D}_i, \end{cases} i \in \mathbb{Z}.$$

Thus, $\langle u \rangle$ solves a certain problem for a *periodic* lattice of circular scatterers, each of (small) radius $R = \varepsilon d$. Second, $\langle u \rangle$ and its normal (radial) derivative are both continuous across the boundary of each \mathcal{D}_i .

To solve (5), we first impose the Bloch condition,

$$v(\mathbf{r} + \mathbf{r}_j) = v(\mathbf{r}) \exp(i\mathbf{Q} \cdot \mathbf{r}_j), \quad \mathbf{r} \in \mathcal{D}_0, \quad j \in \mathbb{Z}; \ (6)$$

it follows that $\langle u \rangle$ satisfies the same condition. Use of (6) in (5) together with the two-centre expansion of ψ_0^H [7, Theorem 2.14] gives

$$v(\mathbf{r}) = g \sum_{n} \sum_{p} (-1)^{p} V_{n} \sigma_{n-p}^{H} \psi_{p}^{J}(\mathbf{r}), \quad \mathbf{r} \in \mathcal{D}_{0}, \quad (7)$$

where $\psi_n^J(\mathbf{r}) = J_n(kr) \mathrm{e}^{\mathrm{i}n\theta}$ and

$$V_n = \frac{1}{\pi R^2} \int_{\mathcal{D}_0} v(\mathbf{s}) \,\psi_{-n}^J(\mathbf{s}) \,\mathrm{d}\mathbf{s}.$$

Multiply (7) by $\psi_{-m}^{J}(\mathbf{r})$ and integrate over \mathcal{D}_{0} giving

$$V_m = g\mathcal{J}_m(kR) \sum_n V_n \sigma_{n-m}^H(k; \mathbf{Q}; \Lambda), \quad m \in \mathbb{Z}, \quad (8)$$

where $\mathcal{J}_m(kR) = J_m^2(kR) - J_{m-1}(kR)J_{m+1}(kR)$. This is an infinite homogeneous system of linear algebraic equations for V_n . Setting its determinant to zero yields the dispersion relation connecting k to **Q**. For very small disorder $(kR \ll 1)$, we can approximate the function $\mathcal{J}_m(kR)$ occurring in (8). As $\mathcal{J}_m(0) = \delta_{0m}$, (8) reduces correctly to (2) in the absence of disorder. At next order, we use $\mathcal{J}_0 \sim 1 - (kR)^2/4$ and $\mathcal{J}_{\pm 1} \sim (kR)^2/8$, leading to a 3×3 system for $V_{\pm 1}$ and V_0 . This produces an approximate dispersion relation, valid for small disorder. This can be related to the periodic problem, giving estimates for $\mathbf{Q} - \mathbf{Q}_p$ when $k = k_p$ or $k - k_p$ when $\mathbf{Q} = \mathbf{Q}_p$, as desired.

Further work is ongoing, comparing with numerical simulations (for comparisons in one dimension, see [8]) and going beyond the Foldy representation.

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