

EXPORT REVIEW PROCEDURES GUIDE

November 18, 2016

Dear Mines Community~

Export control regulations have been in place in the U.S. for well over twenty years. It wasn't until after September 11, 2001 that universities started to focus on the regulations which then led to a heightened focus in the late 2000's. Export Control has certainly been a focus here at Mines which includes recent efforts to provide clarity on the regulations to those in our campus community who may be impacted.

Export Control issues can arise while we are performing basic academic activities: conducting research, teaching, shipping or importing goods/equipment, traveling overseas, collaborating with international partner, sharing or disseminating knowledge and inventiveness, etc. The export laws affect all fields of science and engineering and apply to universities, industries, as well as individuals. Thus, when research or educational activities involve providing technology, data, or services to certain countries, foreign nationals, in the U.S. or abroad, Mines' community members need to be able to identify whether export control laws and regulations are being met, or whether these are covered by the Fundamental Research Exception of the export control regulations.

As such, it is essential for every member of the Mines' community to be aware of the myriad of Export Control laws and regulations, and the concerns that may arise through their work at Mines. To help better inform you of the relevant regulations, we are creating a new local resource manual, launching a website (<http://inside.mines.edu/export-controls>), identifying service offices (e.g., ORA, Compliance, Legal Services), and providing programming to assist and inform faculty, staff, and students. These new resources are intended to assist Mines' community members with their export control questions.

If you do not find an answer to your export-related question in these materials, please ask questions and seek out additional resources. The resources we are developing will continue to evolve with your use and input.

Thank you to the entire Mines' community for working together to build a stronger future.

Sincerely,

Thomas Boyd, Ph.D.
Interim Provost

Kirsten M. Volpi
EVP/COO/CFO

Tony Dean, Ph.D.
SVPRTT