

Approved at the 5/19/14 meeting
BOARD OF TRUSTEES OF THE COLORADO SCHOOL OF MINES
MINUTES OF THE BOARD MEETING
March 28, 2014
COLORADO SCHOOL OF MINES
1500 ILLINOIS ST., COORS BOARD ROOM

Chairman Spaanstra called the Board of Trustees to order in regular session at 9:15am in the Coors Boardroom.

Trustees present: Spaanstra, Jordan, Bliss, Haddon, Vallejo, Truly, Cowart, student trustee Rogers, and faculty trustee Illangasekare.

Select CSM executives and directors were also present including President Scoggins, Provost Parker, VP Fox, CSMF Pres. & COO Winkelbauer, Senior VP Poate, Senior VP Middleton, VP Han, Assoc. Provost Boyd, EVP Volpi, AVP Boyd, and Ms. Walker.

A. Consent Agenda

Trustee Bliss moved to approve the Consent Agenda, seconded by Trustee Truly. Motion approved 7-0.

B. Reports

Committee reports Trustee Vallejo stated that the finance and audit committee met on March 14 to review the February financials, discuss debt management and real estate conveyance policy, make small adjustments to the 2014 audit plan, and welcome new internal auditor Tressa Ries. The investment advisory committee also met that day to review investment performance.

President's report President Scoggins reviewed recent campus events including the Timothy J. Haddon/Alacer Gold Chair in Mining Engineering plaque unveiling, the first annual scholarship recognition breakfast, the annual student leadership summit, the graduate student research conference featuring keynote speaker Ken Salazar, and the President's Distinguished Lecture at which the *ConocoPhillips Center for a Sustainable WE²ST (Water-Energy Education, Science and Technology) at Colorado School of Mines* was announced, including ConocoPhillips \$3M dollar gift to help establish the center. Clarence Cazalot, retired CEO, Chairman, and President of Marathon Oil will be the May commencement speaker, and Jerre Stead, retired CEO of IHS, will be the December commencement speaker.

Dr. Scoggins asked various executives to provide updates. Ms. Boyd stated that we have completed the Fall 2014 admit process and have 1,500 students on the wait list. Admit numbers look very similar to last year and will be finalized in April. Ms. Boyd described how the Colorado Scholars fund will benefit high merit, low income students.

Dr. Boyd noted that applications for Fall graduate enrollment are up 16%, total accepts are up 31%. Quality indicators are good for masters and doctorate students, and yield is greatly benefited by campus visits.

Ms. Walker updated the Board on environmental and legal matters related to the former CSMRI Creek Side Site. As of this week, the School has received payments from all of the Potentially Responsible Parties (PRPs) who are party to the Consent Decree approved by the court on December 31, 2013. The School is still pursuing some claims against other PRPs, but is working to bring this matter to closure as quickly as practicable. With regard to regulatory matters related to this site, the environmental covenant related to the groundwater in the upper terrace portion of this site has been amended by the Colorado Department of Public Health and Environment (“CDPHE”). This will allow the School to close existing monitoring wells on the site. There are no remaining regulatory issues pending with CDPHE regarding this site.

Mr. Han provided an update of state legislative activities.

Research activities Dr. Poate provided an update of research efforts and funding highlights and discussed our federal and non-federal proposals and awards. Five Proof of Concept awards were announced with funding of \$30K each. Awardees are from the Chemical and Biological Engineering, Chemistry and Geochemistry, and Metallurgical and Materials Engineering departments.

EH&S Report Ms. Volpi provided an update of recent Environmental Health and Safety activity, as well as the safety assessment that was performed in 2013. The assessment reviewed hazards present, controls, and residual risk. Plans for addressing the assessment’s recommendation include policies and procedures, training, and overall safety culture.

Financial report Ms. Volpi reviewed the February financial statements and noted the recently made adjustments.

C. Action Items

Action item 1: Revision to the Real Estate Conveyance policy Ms. Volpi stated that currently there is not a Board policy that sets forth parameters of approval of capital projects and revisions to such projects. The School does have the Contract Approval policy that is promulgated by the Board of Trustees pursuant to the authority conferred upon it by §23-41-104(1), C.R.S. (1999) that sets forth a policy for contract approval of all contracts including those related to capital projects. However, that policy does not set forth parameters for approvals of capital projects.

The Finance and Audit Committee recommends to the Board of Trustees approval of the new parameters for capital projects proposed in the Board of Trustee’s policy: ‘Real Estate Conveyance Policy’ which is to be renamed: ‘Capital Construction Approval and Real Estate Conveyance Policy’.

RESOLUTION

BE IT RESOLVED, that the Board of Trustees of the Colorado School of Mines hereby approves the revisions to the 'Real Estate Conveyance Policy' which is to be renamed: 'Capital Construction Approval and Real Estate Conveyance Policy' as follows:

All capital construction projects with a budgeted total project cost of \$5 million and higher and any revision to such projects that results in an increase in the original total project cost of 10% or higher must be approved by the Board of Trustees. The Board of Trustees shall delegate to the President approval as follows:

- *Any capital projects with a total project cost up to \$4,999,999 and all revisions to these projects*
- *Revisions to projects \$5 million and higher where the revision is less than 10% of the original total project cost*

The President shall inform the Board of Trustees of all revisions approved below 10% for those projects that are \$5 million and higher.

The Finance and Audit committee recommended to the Board approval of the Real Estate Conveyance policy. Approved 7-0.

Action item 2: Microwave Dish Lease Jefferson County Emergency Communications Ms. Volpi stated that Pursuant to the Board of Trustees Real Estate Conveyance Policy, section 2.1, all conveyances of any interest in real estate to or from Colorado School of Mines must be approved by the Board of Trustees. Jefferson County has requested a lease of space on the roof of the General Research Laboratory or other suitable location to install a small microwave dish that will improve emergency communications data exchange between the County and the City of Golden. This is intended to be a non-remuneration lease and have little to no impact to the school. Mines has indicated interest in engaging in a lease negotiation with Jefferson County, subject to the Board of Trustees' approval, on the following conditions:

- The lease terms will be negotiated and drafted in a format that is consistent with current State Fiscal rules and State Buildings;
- All technical aspects of the equipment to include transmission frequencies shall be licensed and shown not to interfere with Mines operations;
- The term will be in five-year periods, subject to renewal upon parties' written agreement; and
- Jefferson County shall take full maintenance responsibility for the equipment installed.

A Jefferson County representative has indicated Jefferson County is ready to negotiate this new lease agreement. Ms. Volpi asked the Board to consider and approve of entering into a new lease agreement with Jefferson County that reflects the terms and conditions outlined above.

RESOLUTION

BE IT RESOLVED, that the Board of Trustees of the Colorado School of Mines hereby approves of the School entering into a lease agreement with Jefferson County in connection with a microwave dish on the School’s General Research Laboratory Building or other suitable location, and authorizes the President or his appropriate delegee to negotiate the terms of this agreement and execute it on the School’s behalf.

Motion to approve made by Trustee Truly, seconded by Trustee Haddon. Approved 7-0.

Action Item 3: Sabbatical Recommendations Dr. Parker stated that the Board of Trustees has set forth a policy, in accordance with the requirements of §23-5-123, C.R.S., outlining the terms and conditions under which sabbatical leave shall be granted. The policy states that “in considering a sabbatical request, the Board shall consider the quality of the faculty member’s proposed activities while on sabbatical; the individuals who will be involved in such activities; the benefits to be received from such activities by the faculty member, CSM and CSM students; the hardship imposed, if any, on the faculty member’s colleagues or department if the sabbatical should be granted; and the number of sabbaticals currently outstanding with the department or area of expertise of the faculty member requesting a sabbatical.”

Dr. Parker presented sabbatical requests for the 2014-2015 academic year to the Trustees. All of these have received formal approval by the appropriate supervisors. In addition, the deans, department heads and division directors have given assurance that the responsibilities and obligations of their units will be adequately covered. All recommended requests meet the sabbatical leave requirements in Faculty Handbook section 10.9 for length of service and time since last sabbatical taken. Therefore, Dr. Parker recommends approval for nineteen sabbatical requests.

RESOLUTION

BE IT RESOLVED that the Board of Trustees of the Colorado School of Mines approves the following for sabbaticals for the term(s) indicated.

College of Applied Science and Engineering (CASE)

<i>Herring, Andrew</i>	<i>CBE</i>	<i>Fall 2014/Spring 2015</i>
<i>Marr, David</i>	<i>CBE</i>	<i>Spring 2015</i>
<i>Neeves, Keith</i>	<i>CBE</i>	<i>Spring 2015</i>
<i>Sum, Amadeu</i>	<i>CBE</i>	<i>Fall 2014/Spring 2015</i>
<i>Richards, Ryan</i>	<i>CH</i>	<i>Fall 2014/Spring 2015</i>
<i>Liu, Stephen</i>	<i>MME</i>	<i>Spring 2015</i>
<i>Collins, Reuben</i>	<i>PH</i>	<i>Spring 2015</i>
<i>Durfee, Charles</i>	<i>PH</i>	<i>Fall 2014/Spring 2015 (or F14)</i>

College of Engineering and Computational Sciences (CECS)

<i>Martin, Paul</i>	<i>AMS</i>	<i>Spring 2015</i>
<i>Cath, Tzahi</i>	<i>CEE</i>	<i>Fall 2014</i>
<i>Kiousis, Panos</i>	<i>CEE</i>	<i>Fall 2014</i>
<i>Munakata-Marr, Junko</i>	<i>CEE</i>	<i>Spring 2015</i>
<i>Wakin, Michael</i>	<i>EECS</i>	<i>Fall 2014</i>
<i>Braun, Rob</i>	<i>ME</i>	<i>Fall 2014</i>

College of Earth Resource Sciences and Engineering (CERSE)

<i>Eggert, Rod</i>	<i>EB</i>	<i>Fall 2014/Spring 2015</i>
<i>Benson, Dave</i>	<i>GE</i>	<i>Fall 2014</i>
<i>Andrews-Hanna, Jeffrey</i>	<i>GP</i>	<i>Spring 2015/Fall 2016</i>
<i>Batzle, Michael</i>	<i>GP</i>	<i>Spring 2015</i>
<i>Snieder, Roel</i>	<i>GP</i>	<i>Fall 2014</i>
<i>Nakagawa, Masami</i>	<i>MN</i>	<i>Fall 2014/Spring 2015</i>
<i>Wu, Yu-Shu</i>	<i>PE</i>	<i>Fall 2014/Spring 2015</i>

Motion to approve made by Trustee Haddon, seconded by Trustee Vallejo. Approved 7-0.

D. Emergency Action Items

There were no emergency action items.

E. Information Items

Capital Projects Ms. Volpi provided an update of the capital projects Elm Residence and Dining Hall, Clear Creek Athletics Complex (CCAC), the Welcome Center, and the state funding prioritization of the cornerstone academic and research facility and the heating plant renovation. Elm Residence and Dining Hall is currently on a one week delay. CCAC contractor has been selected, project is moving forward. Football fall season will be at North Athletics Complex at 62nd and 93. Welcome Center bids are due early June. The Jefferson County Mental Health that was purchased last year is currently under renovation with departments planning to move in this summer.

College of Applied Science and Engineering Update Dr. Dean provided an overview of the college, highlighting how CASE contributes to the strategic plan, and how interest in programs has grown in the last few years.

There was no faculty senate report, due to the faculty senate presentation planned for later that day.

Trustee Haddon made a motion to go into executive session, seconded by Trustee Bliss. Approved 7-0. Executive session began at 11:20am. Trustee Haddon motioned to move back into regular session, seconded by Bliss. Exec session ended at 12:25pm.

Trustee Truly moved to approve awarding an honorary doctorate degree to the May commencement speaker, seconded by Trustee Bliss. Approved 7-0.

Trustee Haddon moved to approve the recommended tenure and/or promotion as proposed by Provost Parker. Seconded by Trustee Vallejo. Approved 7-0.

There being no other business, the regular session ended at 12:25pm.