

Let's actually solve the Dirac equation for a particle at rest, i.e.  $\frac{\partial \psi}{\partial x} = \frac{\partial \psi}{\partial y} = \frac{\partial \psi}{\partial z} = 0 \Leftrightarrow \vec{p} = 0$   
 $i\hbar \partial_t \psi \Leftrightarrow p_t$

Then we have:  $\gamma^0 \partial_t \psi + \frac{mc}{\hbar} \psi = 0$

$$\begin{pmatrix} 0 & -i \\ -i & 0 \end{pmatrix} \frac{\partial \psi}{\partial ct} + \frac{mc}{\hbar} \psi = 0 \quad \psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

Or: 
$$\left. \begin{aligned} -i\hbar \frac{\partial \psi_1}{\partial ct} + \frac{mc}{\hbar} \psi_1 &= 0 \\ -i\hbar \frac{\partial \psi_2}{\partial ct} + \frac{mc}{\hbar} \psi_2 &= 0 \\ -i\hbar \frac{\partial \psi_3}{\partial ct} + \frac{mc}{\hbar} \psi_3 &= 0 \\ -i\hbar \frac{\partial \psi_4}{\partial ct} + \frac{mc}{\hbar} \psi_4 &= 0 \end{aligned} \right\} \text{4 solutions: } \psi_{rest}^{(1)} = e^{-i\frac{mc^2}{\hbar}t} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad \psi_{rest}^{(4)} = e^{-i\frac{mc^2}{\hbar}t} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

$$\psi_{rest}^{(3)} = e^{i\frac{mc^2}{\hbar}t} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad \psi_{rest}^{(2)} = e^{i\frac{mc^2}{\hbar}t} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

From QM we expect the time dependence of a state to evolve as  $e^{-i\frac{E}{\hbar}t}$ , and for particles at rest  $E = mc^2$  so  $e^{-i\frac{mc^2}{\hbar}t}$  indicates the usual behavior.

What about the solutions w/  $e^{i\frac{E}{\hbar}t}$  time dependence? These correspond to antiparticle states!

Note: Recall that  $S_2 = \frac{\hbar}{2} \begin{pmatrix} \sigma_2 & 0 \\ 0 & \sigma_2 \end{pmatrix}$  for 4-comp.  $\psi$   
 $= \frac{\hbar}{2} \begin{pmatrix} 1 & & & \\ & -1 & & \\ & & 1 & \\ & & & -1 \end{pmatrix}$   
 Thus:  $S_2 \psi^{(1)} = \frac{\hbar}{2} \psi^{(1)}$ ,  $S_2 \psi^{(2)} = -\frac{\hbar}{2} \psi^{(2)}$   
 $S_2 \psi^{(3)} = -\frac{\hbar}{2} \psi^{(3)}$ ,  $S_2 \psi^{(4)} = \frac{\hbar}{2} \psi^{(4)}$

These were given an interpretation early on by Feynman and Stueckelberg as positive energy states moving backwards in time. This has been given a more modern understanding, but remains a useful idea and is actually implemented in Feynman diagrams. This explains the 4 d.o.f. in the Dirac equation,  $\pm \frac{1}{2}$  for each particle and antiparticle.

So the Dirac equation secretly knows about and describes both particle and antiparticle behavior. We will get a clearer picture of how these are related when we study discrete symmetries.

It is both more informative and more useful in calculations to consider plane-wave solutions since these correspond to states of definite momentum which is typically what we put in to scattering events and detect coming out.

Taking a plane-wave ansatz:  $\psi(x) = A e^{-ik_\mu x^\mu} u(k^\mu)$  for which  $\partial_\mu \psi = -ik_\mu \psi$   
overall normalization constant

The Dirac equation then becomes:  $(i\gamma^\mu k_\mu - mc)\psi = 0$  which is algebraic!

After some work one can show that  $k^\mu = \pm \frac{1}{k} p^\mu$  and we are left with 4 solutions:

$$\psi^{(1)} = A e^{i\frac{p_\mu x^\mu}{k}} \begin{pmatrix} E/mc^2 - p_z/mc \\ -p_x/mc - i p_y/mc \\ 1 \\ 0 \end{pmatrix} \quad \psi^{(2)} = A e^{i\frac{p_\mu x^\mu}{k}} \begin{pmatrix} -p_x/mc + i p_y/mc \\ E/mc^2 + p_z/mc \\ 0 \\ 1 \end{pmatrix}$$

$$\psi^{(3)} = A e^{-i\frac{p_\mu x^\mu}{k}} \begin{pmatrix} 0 \\ 1 \\ -p_x/mc + i p_y/mc \\ -E/mc^2 + p_z/mc \end{pmatrix} \quad \psi^{(4)} = A e^{-i\frac{p_\mu x^\mu}{k}} \begin{pmatrix} 1 \\ 0 \\ -E/mc^2 - p_z/mc \\ -p_x/mc - i p_y/mc \end{pmatrix}$$

Note:  
 Typically we write:  
 $\psi^{(1)} = A e^{i\frac{p_\mu x^\mu}{k}} u^{(1)}$   
 $\psi^{(2)} = A e^{i\frac{p_\mu x^\mu}{k}} u^{(2)}$   
 $\psi^{(3)} = A e^{-i\frac{p_\mu x^\mu}{k}} v^{(1)}$   
 $\psi^{(4)} = A e^{-i\frac{p_\mu x^\mu}{k}} v^{(2)}$   
 where  $u^{(1)}, u^{(2)}$  are particle spinors  
 and  $v^{(1)}, v^{(2)}$  are anti-particle spinors

Note:  $\psi^{(i)} \rightarrow \psi^{(i)}$  w/  $\vec{p} = 0$  (you fill in the details in the HW)

These are quite different than the "decoupled" spinors in NR QM, i.e.  $\psi(x) \propto u(\vec{p}) + v(\vec{0})$ . Here the energy and momentum dependence cannot be extracted as an overall coefficient like  $\psi(x)$ .

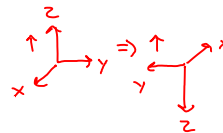
Looking again at  $S_z$ , we note:  $S_z \psi^{(1)} = \frac{\hbar}{2} A e^{i\frac{p_\mu x^\mu}{k}} \begin{pmatrix} E/mc^2 - p_z/mc \\ p_x/mc + i p_y/mc \\ 1 \\ 0 \end{pmatrix} \neq \psi^{(1)}$  So this and the other  $\psi^{(i)}$  are not eigenstates of  $S_z$ .

Unless we choose  $\vec{p} = p_z \hat{k}$ , then:  $S_z \psi^{(1)} = \frac{\hbar}{2} \begin{pmatrix} 1 & -1 \\ -1 & -1 \end{pmatrix} A e^{i\frac{p_\mu x^\mu}{k}} \begin{pmatrix} E/mc^2 - p_z/mc \\ 0 \\ 1 \\ 0 \end{pmatrix} = \frac{\hbar}{2} \psi^{(1)}$

So it is often useful to work in terms of eigenstates of spin along the direction of motion ( $\hat{k}$  above). These are referred to as helicity states.

Characterizing particle states w/ helicity is almost just like characterizing them by  $S_z$ .

For instance if a particle has  $S_z = +\frac{\hbar}{2}$ , we can always rotate our coordinates so that the same particle has  $S_z = -\frac{\hbar}{2}$ . It is still a useful classification if we stick to one coordinate system.



Helicity is similar. If we have  $S_{\vec{p}} = +\frac{\hbar}{2}$  then  $S \rightarrow \vec{p}$  (though not completely aligned).  
But we can always boost to frame reversing  $\vec{p}$  then  $S' \rightarrow \vec{p}$  giving us  $S_{\vec{p}} = -\frac{\hbar}{2}$ .

Except when the particle in question is massless! In that case there is no way to reverse  $\vec{p}$  with a boost. So for massless particles, their helicity is an unchangeable intrinsic property (just like their total spin).

In fact for a given massless particle type (flavor) we might as well think of the  $S_{\vec{p}} = \pm \frac{\hbar}{2}$  states as different particles!

This has many implications, but first let's go back to our counting of states à la Wigner.

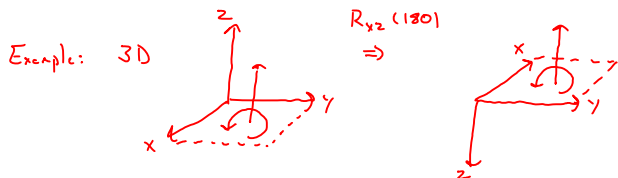
Recall we classify intrinsic spin states by the transformations that leave  $P^\mu$  invariant.

For  $m > 0$ , we can work w/  $P^\mu = (mc, 0, 0, 0) \Rightarrow$  3D rotations  $\Rightarrow$  spin- $\frac{1}{2} \Rightarrow$  2 states.

However for  $m=0$  there is no rest frame. There is a simple  $P^\mu$  to work with (remember the counting is independent of  $P^\mu$  so we can choose any one that is handy).

Consider:  $P^\mu = (\frac{E}{c}, \frac{E}{c}, 0, 0)$  Note:  $P_\mu P^\mu = 0$  as expected for  $m=0$ .

This is only invariant under 2D rotations! But these cannot change the spin in this plane!



Is any of this reflected in the Dirac equation?

Recall that w/ our conventions a boost on spinors is generated by  $\delta^{0i} = \frac{i}{2} \begin{pmatrix} \delta_i & 0 \\ 0 & -\delta_i \end{pmatrix}$

while a rotation on spinors is generated by  $\delta^{ij} = \frac{i}{2} \epsilon^{ijk} \begin{pmatrix} \delta_{k1} & 0 \\ 0 & \delta_{k2} \end{pmatrix}$

So if we take our 4-component  $\psi = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$  we have that  $\psi_{\pm}$  transform oppositely under boosts and alike under rotations.

The 2-component  $\psi_{\pm}$  are called Weyl or chiral spinors.

The Dirac Lagrangian can be written:  $\mathcal{L}_{Dirac} = (hc) \bar{\psi} \gamma^\mu \partial_\mu \psi + mc^2 \bar{\psi} \psi$

Recall: $\bar{\psi} = \psi^\dagger \gamma^0$ $\gamma^0 = -i \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ So for $\psi = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} \Rightarrow \bar{\psi} = (\psi_-^\dagger \ \psi_+^\dagger)$	$= -hc (i \psi_-^\dagger \partial_\mu \delta^{\mu 1} \psi_+ + i \psi_+^\dagger \partial_\mu \delta^{\mu 2} \psi_-) + mc^2 (\psi_-^\dagger \psi_+ + \psi_+^\dagger \psi_-)$ <div style="display: flex; justify-content: space-around; margin-top: 10px;"> <div style="text-align: center;"> <math>\uparrow</math>  <math>\delta^{\mu 1} = (I, \delta^i)</math> </div> <div style="text-align: center;"> <math>\uparrow</math>  <math>\delta^{\mu 2} = (I, -\delta^i)</math> </div> </div>
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Now the important thing to note is that if  $m \neq 0$  we need both  $\psi_+$  and  $\psi_-$  (hence a 4-comp. Dirac spinor). However if  $m=0$ , we can actually work with just one of  $\psi_+$  or  $\psi_-$ , i.e. 2 component Weyl spinors which satisfy:

$$\begin{cases} i \bar{\sigma}^\mu \partial_\mu \psi_+ = 0 \\ \text{or } i \sigma^\mu \partial_\mu \psi_- = 0 \end{cases} \quad \left. \vphantom{\begin{cases} i \bar{\sigma}^\mu \partial_\mu \psi_+ = 0 \\ \text{or } i \sigma^\mu \partial_\mu \psi_- = 0 \end{cases}} \right\} \text{Weyl equations. Each one describes a particle/anti-particle pair, hence 2 real d.o.f.}$$

Choosing to work with  $\psi_+$  or  $\psi_-$  for massless spinors is exactly the same as working with positive or negative helicity states! To see this consider one example:

$$\psi^{(+)} = A e^{i \frac{p_\mu x^\mu}{\hbar}} \begin{pmatrix} \frac{E}{\hbar c} - \frac{p_x}{\hbar c} \\ -\frac{p_x}{\hbar c} \\ -\frac{p_y}{\hbar c} \\ 0 \end{pmatrix} \xrightarrow{\vec{p} = p \hat{k}} A e^{i \frac{p_\mu x^\mu}{\hbar}} \begin{pmatrix} \frac{E}{\hbar c} - \frac{p}{\hbar c} \\ 0 \\ 0 \\ 0 \end{pmatrix} \xrightarrow{m=0} A e^{i \frac{p_\mu x^\mu}{\hbar}} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$\downarrow$   
 $E^2 - p^2 c^2 = 0$   
 $\downarrow$   
 $E = pc$

$\downarrow$   
 $E = pc$

$\downarrow$   
 $E = pc$

Eigensate of  $S_{\vec{p}}$  since  $S_{\vec{p}} = S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$

Eigensate of  $S_{\vec{p}}$  and pure chirality ( $\psi_+$ )

But not a state of pure chirality, e.g.  $\begin{pmatrix} \psi_+ \\ 0 \end{pmatrix}$  or  $\begin{pmatrix} 0 \\ \psi_- \end{pmatrix}$

Everything we have done so far has been illustrated with our conventions for the  $\gamma$ 's, but it may not be obvious that it works for other choices, e.g. when the  $\gamma$ 's do not split like  $-\gamma^0 \gamma^3 = \begin{pmatrix} 0 & \sigma^3 \\ \sigma^3 & 0 \end{pmatrix}$ .

This is where  $\gamma^5$  enters. For our conventions  $\gamma^5 \equiv -\gamma^0 \gamma^1 \gamma^2 \gamma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  (Recall  $\gamma^\mu \gamma^5 = -\gamma^5 \gamma^\mu$ )

And we can use it to form projection operators  $P_\pm = \frac{1}{2}(1 \pm \gamma^5) \Rightarrow P_+ \psi = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \psi_+$   
 $P_- \psi = \psi_-$

But from the definition of  $\gamma^5$ , we can show that  $\frac{1}{2}(1 \pm \gamma^5)$  is a projection operator in any representation of  $\gamma^5$ .

So instead of using the (representation dependent) split  $\psi = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$  we can just define  $\psi_+ = P_+ \psi$   
 $\psi_- = P_- \psi$

Here again we can see the difference between chirality and helicity:

$P_\pm = \frac{1}{2}(1 \pm \gamma^5)$  projects onto states of definite chirality.