

So far in our discussion of rotations in 3D we have encountered scalars, vectors and tensors. These are 0, 1 and higher dimensional representations of the rotation group.

What about a 2D representation of 3D rotations?

We would need 2x2 matrices satisfying  $[g_i, g_j] = i \epsilon^{ijk} g_k$  where  $i, j, k = 1, 2, 3$   $[g_1, g_2] = i g_3$   
 $[g_1, g_3] = i g_2$   
 $[g_2, g_3] = i g_1$

These work:  $g_{R_{yz}} = \begin{pmatrix} 0 & i/2 \\ i/2 & 0 \end{pmatrix}$   $g_{R_{zx}} = \begin{pmatrix} 0 & -i/2 \\ i/2 & 0 \end{pmatrix}$   $g_{R_{xy}} = \begin{pmatrix} i/2 & 0 \\ 0 & -i/2 \end{pmatrix}$   
" " " " where  $\delta_x, \delta_y, \delta_z$  are the Pauli spin matrices

Now we can build:  $R_{yz}(\theta) = e^{i g_{R_{yz}} \theta} = \begin{pmatrix} \cos(\frac{\theta}{2}) & i \sin(\frac{\theta}{2}) \\ i \sin(\frac{\theta}{2}) & \cos(\frac{\theta}{2}) \end{pmatrix}$  and similarly for  $R_{zx}$  and  $R_{xy}$ .

satisfy  $U^\dagger U = \mathbb{I}$  and  $\det U = +1$  }  $SU(2)$  which act on complex 2-component spinors  $\chi$ .

Often we write  $\chi \rightarrow \chi' = e^{\pm i \vec{\sigma} \cdot \vec{\theta}} \chi$  Note: We will not use spin indices in this class, so we will rely on matrix manipulations.

So  $SO(3) \sim SU(2)$ , at least near the identity (which is all the Lie algebra knows about).

Globally however there is a difference:  $SO(3) \quad R_x(2\pi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} = \mathbb{I}$  }  $SU(2)$  is called the  
 $SU(2) \quad R_x(2\pi) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = -\mathbb{I}$  } double-cover of  $SO(3)$

of course  $R_x(4\pi) = \mathbb{I}$  for both!

There is a certain sense in which spinors and  $SU(2)$  probes geometry more deeply than coordinates, scalars, vectors,  $SO(3)$ , etc.

By "probe more deeply" I mean they contain more information. Sometimes people say that spinors know about the square root of the geometry. Clifford algebra.

In fact if we consider the anti-commutator of the Pauli matrices we find:  $\{\delta_i, \delta_j\} = 2 \delta_{ij} \mathbb{I}_{2x2}$

Example:  $\delta_x \delta_y + \delta_y \delta_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + \begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  as expected since  $\delta_{xy} = 0$   
 $\delta_y \delta_y + \delta_y \delta_y = 2 \delta_y \delta_y = 2 \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = 2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  as expected since  $\delta_{yy} = 1$

It might seem silly, but recall that  $\delta_{ij} = \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$  is the metric of  $\mathbb{R}^3$ . This will come in handy later.

Another illustration of this is a lesson from QM: If we only have integer spin states at our disposal,  $\{0, 1, 2, \dots\}$  then by combining spins we can only ever build more integer spin states. However if we allow  $1/2$  integer spin states, then we can build  $1/2$  or whole integer states just using  $1/2$  spin states, e.g.  $1/2 - 1/2 = 0$ ,  $1/2 + 1/2 = 1$ .

To finish up, we need to determine how to build an invariant (for Lorentzians) out of spinors.

Following our usual recipe: If  $\chi \rightarrow \chi' = e^{\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}} \chi$  and  $\tilde{\chi} \rightarrow \tilde{\chi}' = (e^{\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}})^{-1\dagger} \tilde{\chi}$   
then  $\tilde{\chi}^\dagger \chi$  is invariant.

But recall how we form  $\tilde{\chi}$  from  $\chi$ :  $\tilde{\chi} = (g\chi)$  where  $(e^{\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}})^\dagger g e^{\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}} = g$

→ However for  $SU(2)$  we already know that  $U^\dagger U = 1$  so  $g = I$  and we can say  $\tilde{\chi} = (g\chi) = \chi$  and then  $\chi^\dagger \chi$  is invariant!

Note: All of the  $\sigma$  matrices are Hermitian, i.e.  $\sigma_i^\dagger = \sigma_i$ ,  $\vec{\theta}$  is real so

$$U^\dagger = (e^{\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}})^\dagger = e^{-\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}} = U^{-1} \quad \text{This will not be the case later!}$$

You can see more explicitly by Taylor expanding

$$\begin{aligned} & [I + (\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}) + \frac{1}{2}(\frac{i}{2}\vec{\sigma}\cdot\vec{\theta})(\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}) + \dots]^\dagger \\ &= I + (-\frac{i}{2}\vec{\sigma}^\dagger\cdot\vec{\theta}) + \frac{1}{2}(-\frac{i}{2}\vec{\sigma}^\dagger\cdot\vec{\theta})(-\frac{i}{2}\vec{\sigma}^\dagger\cdot\vec{\theta}) + \dots \\ &= I + (-\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}) + \frac{1}{2}(-\frac{i}{2}\vec{\sigma}\cdot\vec{\theta})(-\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}) = e^{-\frac{i}{2}\vec{\sigma}\cdot\vec{\theta}} \end{aligned}$$

Now it is time to repeat this procedure for special relativity.

The Lorentz transformations as they act on coordinates/vectors form  $SO(1,3)$  so let's explore its algebra.

We expect 6 generators corresponding to:  $R_{yz}, R_{zx}, R_{xy}, B_{xt}, B_{yt}, B_{zt}$ .

We will call the corresponding generators:  $J_1, J_2, J_3, K_1, K_2, K_3$

Fortunately we already know a lot about the  $J$ 's: From which we can also get SU(2)

$$J_1 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \end{pmatrix} \quad J_2 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & i \\ 0 & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{pmatrix} \quad J_3 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & -i & 0 \\ 0 & i & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow [J_i, J_j] = i \epsilon^{ijk} J_k$$

If we take the various boosts and again consider their Taylor expansion, then using the exponential map  $B = \exp(i K \delta B)$  we find:

$$K_1 = \begin{pmatrix} 0 & -i & 0 & 0 \\ -i & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad K_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & 0 \\ -i & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad K_3 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix}$$

Now is where it gets interesting. By brute force one can show:

$$[K_i, K_j] = -i \epsilon^{ijk} J_k \quad \text{2 boosts} \rightarrow \text{rotation}$$

$$[J_i, K_j] = i \epsilon^{ijk} K_k \quad \text{rotation + boost} = \text{boost}$$

Question: Can the boosts alone form a subgroup of  $SO(1,3)$ ? No  
What about rotations? Yup

So unfortunately the boosts and rotations of  $SO(1,3)$  do not cleanly split from each other.

But...

Let's play an old math/physics trick:

$$\text{Define } \left. \begin{aligned} \bar{J}_{+i} &= \frac{1}{2} (\bar{J}_i + iK_i) \\ \bar{J}_{-i} &= \frac{1}{2} (\bar{J}_i - iK_i) \end{aligned} \right\} \Rightarrow \begin{aligned} [\bar{J}_{+i}, \bar{J}_{+j}] &= i \epsilon^{ijk} \bar{J}_{+k} \\ [\bar{J}_{-i}, \bar{J}_{-j}] &= i \epsilon^{ijk} \bar{J}_{-k} \\ [\bar{J}_{+i}, \bar{J}_{-j}] &= 0 \end{aligned}$$

Then:  
 $\Rightarrow SO(3)$   
 $\Rightarrow SO(3)$   
 $\Rightarrow$  These  $SO(3)$  don't mix.

So we find that at least near the identity  $SO(1,3) \sim \underbrace{SO(3) \times SO(3)}$

Remember this is not a split into 3 boosts and 3 rotations!!

Now everything so far has been in terms of coordinates (scalars, vectors, tensors, etc.), but we can immediately see how to introduce spinors.

We utilize  $SO(1,3) \sim SO(3) \times SO(3) \sim SU(2) \times SU(2)$



Each of these will act on a complex 2 component object, so our total spinor in 4D has 4 complex components!

This is most unfortunate since now we have 4 component vectors and 4 component spinors, but the components mean totally different things. This is only a misfortune in 4D.

	3D	4D	5D	6D	7D	8D	9D	10D
vector	3	4	5	6	7	8	9	10
spinor	2	4	4	8	8	16	16	32

The counting goes: For each independent plane you can define an independent  $SU(2)$  w/ a 2-component spinor giving  $2^{d/2}$  or  $2^{(d-1)/2}$  states depending on  $d$  even or odd.